

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

Applicant: KIRSTEN L. VALLEY et al.  
 For: METHOD AND APPARATUS FOR ANCHORING AN OCCLUDING MEMBER  
 Application No.: Unassigned  
 Filing date: Herewith

1c987 U.S. PTO  
 09/759431  
 01/12/01

U.S. Patent Documents			* Reference Designation				
EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	
Cfr	A1	3,671,979	06/27/72	Moulopoulos			
	A2	4,000,739	01/04/77	Stevens			
	A3	4,056,854	11/08/77	Boretos et al.			
	A4	4,122,858	10/31/78	Schiff			
	A5	4,173,981	11/13/79	Mortensen et al.			
	A6	4,287,892	09/08/81	Schiff			
	A7	4,527,549	07/09/85	Gabbay			
	A8	4,531,936	07/30/85	Gordon			
	A9	4,540,399	09/10/85	Litzie et al.			
	A10	4,592,340	06/03/86	Boyles			
	A11	4,601,713	07/26/86	Fuqua			
	A12	4,664,125	05/12/87	Pinto			
	A13	4,697,574	10/06/87	Karcher et al.			
	A14	4,705,507	11/10/87	Boyles			
	A15	4,741,328	05/03/88	Gabbay			
	A16	4,785,795	11/22/88	Singh			
	A17	4,804,365	02/14/89	Litzie et al.			
	A18	4,877,035	10/31/89	Bogen et al.			
	A19	4,889,137	12/26/89	Kolobow			
	A20	4,902,272	02/20/90	Milder et al.			
	A21	4,902,273	02/20/90	Choy et al.			
	A22	4,921,483	05/01/90	Wijay, et al.			
	A23	4,943,275	06/24/90	Stricker			
	A24	4,944,729	07/31/90	Buckberg, et al.			
	A25	5,011,469	04/30/91	Buckberg et al.			
	A26	5,024,668	06/18/91	Peters et al.			
	A27	4,276,874	07/07/91	Wolvek et al.			
	A28	5,069,661	12/03/91	Trudell			
	A29	5,116,305	05/26/92	Milder et al.			
	A30	5,163,905	11/17/92	Don Michael			
	A31	5,167,628	12/01/92	Boyles			
	A32	5,171,218	12/15/92	Fonger, et al.			
	A33	5,176,619	01/05/93	Segalowitz			
	A34	5,186,713	02/16/93	Raible			
	A35	5,195,942	03/23/93	Weil et al.			
	A36	5,219,326	06/15/93	Hattler			
	A37	5,250,038	10/05/93	Melker et al.			
	A38	5,254,097	10/19/93	Schock et al.			

U.S. Patent Documents			* Reference Designation			
EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS
Cfr	A39	5,254,097	10/19/93	Schock et al.		
	A40	3,769,960	11/06/93	Robinson		
	A41	5,270,005	12/14/93	Raible		
	A42	5,304,132	04/19/94	Jang		
	A43	5,304,183	04/19/94	Gourlay, et al.		
	A44	5,308,320	05/03/94	Safar et al.		
	A45	5,312,344	05/17/94	Grinfeld et al.		
	A46	5,322,509	06/21/94	Rickerd		
	A47	5,324,260	06/28/94	O'Neill, et al.		
	A48	5,330,433	07/19/94	Fonger et al.		
	A49	5,330,498	07/19/94	Hill		
	A50	5,334,142	08/02/94	Paradis		
	A51	5,370,685	12/06/94	Stevens		
	A52	5,411,552	05/02/95	Andersen, et al.		
	A53	5,415,666	05/16/95	Gourlay, et al.		
	A54	5,421,825	06/06/95	Farcot		
	A55	5,423,745	06/13/95	Todd et al.		
	A56	5,425,705	06/20/95	Evard, et al.		
	A57	5,433,700	07/18/95	Peters		
	A58	5,451,207	09/19/95	Yock		
	A59	5,452,733	09/26/95	Sterman, et al.		
	A60	5,458,574	10/17/95	Machold, et al.		
	A61	5,478,309	12/26/95	Sweezer et al.		
	A62	5,499,996	03/19/96	Hill		
	A63	5,501,698	03/26/96	Roth, et al.		
	A64	5,522,838	06/04/96	Hill		
	A65	5,536,251	07/16/96	Evard, et al.		
	A66	5,545,214	08/13/96	Stevens		
	A67	5,556,412	09/17/96	Hill		
	A68	5,558,644	09/24/96	Boyd, et al.		
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	A70	5,569,274	10/29/96	Rapacki, et al.		
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	A72	5,584,803	12/17/96	Stevens, et al.		
	A73	5,588,949	12/31/96	Taylor, et al.		
	A74	5,601,576	02/11/97	Garrison		
	A75	5,613,937	03/25/97	Garrison, et al.		
	A76	5,618,306	04/08/97	Roth, et al.		
	A77	5,618,307	04/08/97	Donlon, et al.		
	A78	5,935,103	08/10/99	Hill		

## Foreign Patent Documents

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	TRANS- LATION?	CLASS	SUB- CLASS
Cfr	B1	EPO218275	15.04.87	Fijneman	Yes	—	—

Cfr	B2	0 414 350 A1	20.06.90	Davey, C.T.					
	B3	WO 91/01689	21.02.91	Segalowitz, J.					
	B4	WO 91/08791	27.06.91	Marks, L.A.					
	B5	WO 91/17720	28.11.91	Anderson	Yes				
	B6	WO 92/17118	15.10.92	Shturman	Yes				
Cfr	B7	WO 95/05860	02.03.95	Abele et al.					

## Other Art (Including Author, Title, Date, Pages, etc.)

Cfr	C1	Foster and Threlkel "Proximal Control of Aorta with a Balloon Catheter" <i>Surg, Gynecology &amp; Obstetrics</i> pp. 693-694 (1971).
	C2	Bourassa, "Cardiovascular Catheters, Sterile," USCI, A Division of C.R. Bard, Inc., June 1972, 4 pages.
	C3	Sabiston, D.C. Textbook of Surgery, 10th Ed. 1972, pp. 2021-2023, 2114-2121.
	C4	Ishizaka "Myocardial protection by retrograde cardiac perfusion with cold modified Krebs solution through coronary sinus during complete ischemic arrest for 120 min." <i>J Jpn Assn Thorac Surg</i> , 25(12):1592-1601 (1977).
	C5	Takahashi, M. "Retrograde coronary sinus perfusion for myocardial protection in aortic valve surgery" <i>J Jpn Assn Thorac Surg</i> 30(3):306-318 (1982).
	C6	Cosgrove, D.M. "Management of the calcified aorta: An alternative method of occlusion" <i>Ann Thorac Surg</i> . 36:718-719 (1983).
	C7	Erath and Stoney "Balloon catheter occlusion of the ascending aorta" <i>Ann Thorac Surg</i> . 35:560-561 (1983).
	C8	Gundry et al. "A comparison of retrograde of cardioplegia versus antegrade cardioplegia in the presence of coronary artery obstruction" <i>Ann Thorac Surg</i> 38(2):124-127 (1984).
	C9	Buckberg, G.D. "Strategies and logic of cardioplegic delivery to prevent, avoid, and reverse ischemic and reperfusion damage" <i>J Thorac Cardio Vasc Surg</i> , 93:127-129 (1987).
	C10	Lust et al. "Improved protection of chronically inflow-limited myocardium with retrograde coronary sinus cardioplegia" <i>Circulation III</i> , 78(5):217-223 (1988).
	C11	Rossi, F., "Long-term cardiopulmonary bypass by peripheral cannulation in a model of total heart failure" <i>J Thorac Cardio Vasc Surg</i> 100:914-921 (1990).
	C12	"Occlusion Balloon Catheters: Instructions for Use" <i>Medi•Tech, Boston Scientific Corporation</i> , Rev. 3/91.
	C13	Crooke et al. "Biventricular distribution of cold blood cardioplegic solution administered by different retrograde techniques" <i>J Cardiac Thorac Surg</i> . 102(4):631-636 (1991).
	C14	Uchida et al., "Percutaneous cardiomyotomy and valvulotomy with angioscopic guidance" <i>American Heart Journal</i> 121(4, part 1):1221-1224 (1991).
	C15	Uchida et al., "Percutaneous fiberoptic angioscopy of the cardiac valves" <i>Am Heart J</i> 121(6, part 1):1791-98 (1991).
	C16	Yamaguchi, A., "A case of reoperation using a balloon catheter with blocked pars ascendes aortae" <i>Kyobu Geka</i> , 42(11):961-964 (1991).
	C17	Andersen et al., "Transluminal implantation of artificial heart valves..." <i>European Heart Journal</i> , 13:704-708 (1992).
Cfr	C18	Ogawa, K., "Aortic arch reconstruction without aortic cross-clamping using separate extracorporeal circulation" <i>J Jpn Assn Thorac Surg</i> , pp. 2185-2190 (1993).

Other Art (Including Author, Title, Date, Pages, etc.)		
Cpr	C19	Peters, W.S. "The promise of cardioscopic surgery" <i>AustralAs J Cardiac Thorac Surg</i> 2(3):152-154 (1993).
	C20	Razi, D.M., "The challenge of calcific aortitis" <i>J Cardiac Thorac Surg</i> , 8:102-107 (1993).
	C21	Sakaguchi et al. "Aortic valve replacement and coronary artery bypass" <i>J. Jap Assoc for Thoracic Surg</i> 41(6):1063-1068 (1993).
Cpr	C22	Okita, et al. "Utilization of Triple-Lumen Balloon Catheter for Occlusion of the Ascending Aorta During Distal Aortic Arch Surgery with Hypothermic Retrograde Cerebral Circulation Technique Through Left Thoracotomy," <i>J CARD SURG.</i> , 1995, 10:699-702.

EXAMINER	Cpr	DATE CONSIDERED	10/16/02
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant